



## **Committee on Library and Scholarly Communication**

**Russ Cucina, MD, MS, Chair**

# **ANNUAL REPORT 2013-2014**

### **Primary Focus Points for the Year:**

- Open Access
- University of California Policy on Copyright and Fair Use
- Open Access Journal Publishing
- Mission Bay Activity-Based Workspace
- SCOPUS
- UCSF Library Budget for Journals, Databases and Books

### **Issues for Next Year (2014-2015)**

- Raising Funds for the Library's Collections
- Need for an Increase in the UCSF Library Budget for Journals, Databases and Books
- Open Access Journal Publishing

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### **2013-2014 Members**

#### **Russ Cucina, Chair**

Saunak Sen

#### **Patricia McDaniel, Vice Chair**

Paul Blanc

#### **Ex-Officio Members**

Jeffrey Bush

Karen Butter, University Librarian

Gwen Essex

Polina Ilieva, Librarians Association of UC

Victoria Keeton

Wu Li

#### **Permanent Guests**

Rageshree Ramachandran

Cynthia Darling, Former Member

### **Number of Meetings: 9**

**Senate Analysts:** Shilpa Patel and Jill Kato

<http://senate.ucsf.edu/0-committee/j-lib.html>

The Academic Senate Committee on Library and Scholarly Communication took up the following Systemwide issues this year:

### **Monitoring the Use and Accessibility of Open Access (OA)**

The California Digital Library (CDL) has worked extensively to implement the policy in the most usable way so that faculty can comply with the policy. Because UCSF passed its own OA policy ahead of system-wide UC, UCSF has taken a leadership role in implementation. Chair Cucina tasked COLASC to continue in this role by gathering information and data from our colleagues. The CDL is working on the eScholarship site. The three campuses participating in the pilot program are UCSF, UCLA, and UCI. The next step will be a harvesting tool – a bibliographic repository to harvest metadata from UC authors. The goal is to build a mechanism for authors to connect with one another in an effort to make the process less cumbersome.

### **Presidential Open Access Policy**

Rich Schneider, Past COLASC Chair and current member of the UC Task Force on Open Access, attended the December 19, 2013 meeting to discuss the Presidential Open Access Policy and the corresponding launch materials. The Presidential policy will also apply to postdocs, staff, etc. Currently, the only people who own their copyrights are faculty who are not doing work commissioned by the University. Chris Kelty, the chair of the presidential task force has proposed that the task force reconcile the UCSF policy with the UC policy. The Committee found his concerns compelling and agreed with the approach. The Committee endorsed the letter that would announce the policy once approved. The Senate will decide by November 2014 whether to expand implementation to the remaining seven campuses not already participating in the pilot program. If the policy passes, the UCSF Open Access policy will differ from the systemwide policy in two specific ways:

- Licensing- UCSF assigns a non-exclusive, non-commercial license. The individual retains the right to the article as long as it is not used for commercial purposes. The UCSF policy is more restrictive than the systemwide policy which does not have commercial restrictions.
- Deposit requirements- At UCSF, if an individual waives their license, they are required to retain a dark copy. There is a cohort of UC faculty who believe this may put them in jeopardy of being sued and would prefer to waive the policy as well as the license. UCSF will track deposit and thus serve as an experiment for the system. The deposit site has been revamped.

### **Open Access (OA) Decision Tree and Workflow Document**

Anneliese Taylor, Assistant Director, Scholarly Communications and Collections, UCSF Library, presented the draft Open Access (OA) Decision Tree and Workflow Document. The intention is that the documents will be distributed systemwide. Some members were concerned that the decision tree made the process appear complicated. Members discussed the necessary level of detail.

### **University of California Policy on Copyright and Fair Use**

The committee discussed the [updated policy](#) at its May 15, 2014 meeting. The new version removes descriptive text from the policy and relocates it to a website. The actual policy has not changed. The revision has been vetted by legal counsel. The modifications aim to provide a liberal interpretation of the

policy. The committee did not object to the proposed changes and did not have any comments to forward to systemwide.

### **Open Access Journal Publishing**

At the June 26, 2014 meeting, Neil Christensen, Director, Digital Business Development, University of California Press gave the following report:

Peer review adds a lot of value to publications, but big publishers have been unwilling to discuss cost or cost transparency. Director Christensen aims to develop a model for open access journal publishing. The model would offer low publication charges, an estimated \$600 total cost per publication. Each person who contributed to the peer review and editorial process for the publication would receive payment. Alternatively, peer reviewers and editors may opt out of payment and donate the funds to a central fund to promote charge-free publication.

Authors decide whether their peer reviews are publicly accessible. Reviewers chose whether to attach their names to their review. So far, 80% of people select full transparency. If this model is applied to all disciplines, more profitable fields of study could subsidize underfunded disciplines. It would be up to the authors to apply for payment waivers. UC will not try to direct the funding. UC Press will identify four to five major domains for launch early next year and is investigating which finance system and platform vendors are the best fit.

Members questioned whether UC Press had considered not paying reviewers the honorarium, considering reviewers are not currently paid. In response, Director Christensen replied that he believed offering payment is a good gesture in recognizing faculty contribution and many reviewers will likely waive the fee.

Director Christensen requested that the Committee follow-up with feedback and asked members to spread the word about this endeavor.

## **Divisional Business**

This year, the Academic Senate Committee on Library and Scholarly Communication took up the following issues related to the San Francisco Division:

### **Mission Bay Activity-Based Workspace**

Many faculty are concerned about the impact the lack of private office space may have on privacy and recruitment. The committee questioned their role in this issue. K. Butter noted that in terms of library/study space, 2500 square feet have been allotted. She will bring detailed floor plans and the vision for these spaces at a future meeting. Chair Cucina agreed that as advocates for the library and the purposes it serves, COLASC should remain vigilant and offer as much oversight as possible. As people move to Mission Bay, there is going to be increased need for library space, less for traditional library functions, but more for computer access and as workspace. There is currently not adequate space for those functions.

In conjunction with the Development Office, the Library was able to secure a \$1M endowment for the creation of library space at Mission Bay.

### **Open Access Fund**

An Open Access fund is a pool of money set aside by an institution specifically to reimburse article processing or membership fees for articles published by members of the institution in open-access journals. The goal of any open access fund is to support publication models that enable free, immediate, online distribution of, and access to, scholarly research.

At UCSF, pure OA journals will be covered up to \$2,000 and hybrid journals will be covered up to \$1500, subject to availability. K. Butter added that there is discussion regarding funding for UC librarians to attain funds to study external article processing charges. Right now, publications are getting subscription fees and article processing charges. She emphasized that we really need to study where OA is headed, how it will impact us in the future and what this means for subscriptions.

More information on Campus Open Access funds can be found at the [SPARC website](#).

### **Library Budget**

At the January 16, 2014 meeting, K. Butter gave an overview of the library budget ([Appendix 1](#)). Comments from the Committee included:

- Concern over the fact that the 7% fixed amount of ongoing funding from the Medical Center has not increased in over ten years. The Committee would like a rationale from the Medical Center for not supporting inflationary increases as the Library supports the clinical enterprise.
- Chair Cucina suggested to the group that the Committee consider as an ongoing agenda item to actively pursue and advocate for gifts and endowments.

### **SCOPUS Overview**

At the March 20, 2014 meeting, Peggy Tahir, MLIS, MA, Education & Copyright Librarian gave the committee an overview of the [SCOPUS](#) database. Scopus is available from the UCSF Library on a trial basis through the end of 2014. Scopus allows researchers to see who has cited their work in the past, and to follow new citations going forward by setting up alerts through email or RSS. It allows research officers to develop a profile of UCSF research output, both for specific years and over time. This is a product from Elsevier. This database contains over 21,000 journals. It tracks, analyzes, and visualizes results. ([Attachment 2](#))

Committee input included:

- COLASC should get involved in disseminating this information.
- Faculty need to know about this trial and encouraged to use it in order to determine whether or not this is a useful tool.
- This needs to be prominent on the Library website.
- P. Blanc suggested a formal press release and queried whether the Library has a dedicated PR person. K. Butter will inquire.

### **Library-based Data Management and Data Sharing Services**

Megan Laurance, Ph.D., Research Informationist gave a presentation regarding data management and sharing. ([Attachment 3](#)) As attitudes and policies around data management and data sharing are changing, the Library is working to meet the growing need for data management services within the UCSF research community.

### **Need for an Increase in the UCSF Library Budget for Journals, Databases and Books**

AVC Butter met with EVC&P Jeffrey Bluestone and discussed ideas for additional funding. The University's collections budget has not increased over the past several years. EVC&P Bluestone recommended that AVC Butter work with the Senate to draft a proposal.

- The cost of journal subscriptions increases about 6% per year and new journals are established every year.
- The campus' population continues to increase and subscription costs are often based on population size.
- UCSF's collections budget is much less than comparable institutions.
- EVC Butter expressed concern that the growth of the number of publications puts additional stress on the university. Faculty are asked to serve on editorial boards. Institutions pay for the new subscriptions. Although members recognized this concern, they felt that the real issue is the lack of collection funds.
- EVC Butter drafted a collections budget resolution/proposal for the full committee to review ([Attachment 4](#)).

The proposal was forwarded to the Committee on Faculty Welfare, Academic Planning and Budget, and the Committee on Educational Policy for review. The proposal, along with the letters of support from the three other committees was sent to EVC&P Bluestone. He provided a response on July 22, 2014 ([Attachment 5](#)).

### **Supporting Online Education**

At the May 15, 2014 meeting, AVC Butter gave a presentation on "Supporting Online Education: Library Services and Initiatives".

The Library offers many learning technology services including, but limited to tech clinics, e-learning support, digitization and scanning services, and equipment rental. UCSF offers free online courses through Coursera, a Massive Open Online Course (MOOC). The courses are free, but students would have to pay if they wished to receive a certificate.

### **The Future of the H.M. Fishbon Memorial Library and Collections at Mt. Zion**

In response to the changes at the Mt. Zion campus, COLASC requested information from Medical Library Manager (Mt. Zion) Gail Sorrough on what implications, if any, this has had on the library. Manager Sorrough gave the following report. Daphne Stannard, Clinical Nurse and Associate Professor, was also in attendance at the June 26, 2014 meeting.

The Fishbon Library has a small focused collection and borrows about 3,000 to 4,000 copies per year from other institutions. The library provides research support, offers PubMed classes, and provides 24 hour service. Manager Sorrough projects that the library will become increasingly busy due to Mt. Zion's designation as the ambulatory care center. The library has already seen a spike in use, especially in the patient health library. An 80% librarian is dedicated to this section. The central purpose of Fishbon is to support the people at the medical center who don't have access to other resources.

### **Library Collection Endowment**

Librarian Butter is working with COLASC member Paul Blanc on raising funds for the Library's collection. The Development Office is not supportive of creating an endowment since funds tend to sit in accounts if there are not enough funds for payout. One of the challenges of fundraising for the library is that the schools do not want the library approaching alumni.

It was suggested that the library consider cooperate donations. UCSF's biotech and AIDS archives may be of interest to companies.

## Going Forward

Ongoing issues under review or actions which the Academic Senate Committee on Library and Scholarly Communication will continue into 2014-2015:

- Raising Funds for the Library's Collections
- Need for an Increase in the UCSF Library Budget for Journals, Databases and Books
- Open Access Journal Publishing

## Appendices

[Appendix 1:](#) Overview of the Library Budget

[Appendix 2:](#) SCOPUS Overview

[Appendix 3:](#) COLASC Data Management

[Appendix 4:](#) Statement on the Need for an Increase in the UCSF Library Budget for Journals, Databases and Books

[Appendix 5:](#) EVC&P Bluestone Response to the Statement on the Need for an Increase in the UCSF Library Budget for Journals, Databases and Books

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