Committee on Research
Judith Moskowitz, PhD, MPH, Chair

MINUTES
Monday, October 17, 2011

PRESENT: J. Moskowitz (Chair), S. Nagarajan (Vice Chair), D. Apollonio, L. Dunn, D. Foster, S. Gansky, J. Hahn, R. Hendren, G. Humfleet, L. Julian, C. Kaplan, K. Lee, W. Li, M. Mankani, V. Singh, M. West

ABSENT: S. Ho, S. Kools, R. Marcucio, J. Myers, K. Sporer

GUESTS: S. Hildebrand-Zanki, Associate Vice Chancellor, Research, and M. O’Halloran, Director, Research Management Teams

The Committee on Research was called to order by Chair Moskowitz on October 17, 2011 at 10:00 a.m. in room S-118. A quorum was present at 10:05 am.

Chair’s Announcements

Chair Moskowitz reminded members that this Monday October 24, 2011, the Faculty Research Lecture in Clinical Science is happening at 3:30pm in Cole Hall. The event will be simulcast to Rock Hall 102 (Mission Bay) and to Herbst Auditorium (Mt. Zion)

UCORP Update

COR member and UCORP Representative Ralph Marcucio updated the committee on topics being discussed at the Systemwide level. COR Vice Chair Nagarajan will serve as a secondary/back-up representative to UCORP.

Topics UCORP is exploring:

1. Does UCSF want to keep the Technology Transfer Office (TTO) decentralized or move this back to UOP? About $25m annually is spent on running the office currently. UC Davis wants to keep it local.
   a. Members surmised that there were two separate issues to be addressed
      i. The issue of centralization to which members had minor issues
      ii. The issue of inflexibility of the existing TTO at UCSF and possibly other campuses. Members recognized that the TTO at UCD may operate differently than at UCSF.

2. Does UCSF’s COR have an opinion on the Lab Fees Program? This funding mechanism is administered by Steven Beckwith’s Systemwide Office for Research and Graduate Studies. It cycles every three years and requires a collaboration with a national laboratory.
   a. Members didn’t opine on this topic
3. A UCORP Subcommittee is assessing competitiveness of the UC graduate student recruitment. This is being discussed by the Regents and pertains to increasing tuition, non-resident tuition, transfer of the cost of students to PI's, etc. This really impacts the humanities at other campuses.
   a. UCSF COR members responded by highlighting the differing issues which impact this issue:
      i. Attracting quality students
         1. Attracting international students (such that they can pay out-of-country fees)
      ii. Reduction of graduate student stipends
      iii. Many programs don't have automatic income from which they can pay students i.e. arts programs vs. science self-sustaining programs

Approval of Minutes

The minutes of the September 19, 2011 meeting were approved. They will be posted to the Senate website by the Academic Senate office.

Update on Operational Excellence Rollout, Pre-award - Susanne Hildebrand-Zanki, Associate Vice Chancellor, Research, and Marge O'Halloran, Director, Research Management Teams

Marge O'Halloran, Director, Research Management Teams, provided an overview of the Phase 1A-1B rollout of Pre-award Changes. All of the departments involved at this stage volunteered to be included; they have representation across the schools. Pre-award Changes are being paid for out of indirect cost funds. At present, 52% of the Indirect Costs money is being used for Pre-award Changes. That will go down over time.

The OE team has put protocol in place to insure that the NIH February 2012 deadline isn't impacted by the Phase 1B rollout in January 2012. They will be working with the departments in case anything arises.

A Sponsored Research Advisory Board has been formed—separate from the pre-existing permanent R.A.B. that Chair Moskowitz sits on. This new group is comprised of faculty and staff from departments involved in the Phase 1A implementation. It'll meet thrice, and morph into a more broad representation of each school, including a representative from the Academic Senate. This group will:

1. provide input and advice on service levels and delivery;
2. advise on new or anticipated customer (PI) needs;
3. ensure alignment with UCSF-wide goals and objectives.
4. work with the Contracts & Grants department

Members requested more Senate involvement on the Advisory Board.

Separately, members asked about an independent evaluation of Phase 1A rollout. Susanne Hildebrand-Zanki advised that Claire Brindis will be involved in data-gathering. She was also involved in the implementation of Phase 1A and the design of the evaluation.

Members raised issues of:

1. having a fair evaluation, if the same person who was involved in the implementation and survey design is also administering it;
2. have Post-award issues start to be addressed
   a. Members were concerned that Post-award and other aspects of OE (separate from HR and Finance which are already in place) should be continued;
b. Other aspects have been stopped to insure that Pre-award Changes are implemented smoothly

Members requested another update be given in February or March 2012.

Update on Research Allocation Program (RAP) – Roland Henry, Chair, RAP Executive Board

Roland Henry, Chair, RAP Executive Board, updated the committee on recent changes:
1. New programs are requesting to join RAP
2. There are requests from other programs that the staff commit to assisting RAP. By doing so, this will lead to a quantitative formal budget which will allow RAP to obtain funding from those programs. The Academic Senate and other agencies contribute to RAP equivalent to the volume of grants they fund annually.
3. COR Chair Moskowitz assisted Roland in assigning social and behavioral grants to RAP Review Committees.
4. Chair Henry is looking into the history of how the review committees for RAP are created.

CHR Subcommittee Update

Subcommittee Chair Dunn went over the first conference call that focused on narrowing the scope of the subcommittee. The current Communication draft will be circulated to all Subcommittee members for their review and approval. Once it’s finalized, it will be circulated to all COR members for their feedback.

COR members also proposed broadening the examination of research policies at UCSF to include:
1. Multiple reviews on the same grant conducted by numerous funding agencies;
2. Expand the COR discussion to examine research studies at UCSF overall:
   a. Include Protocol Review Committee (PRC) and CTSI—CRI Reviews in the examination
   b. Members separately advised that there was an academic freedom survey conducted on PRC practices in the past few years.

Old Business
None.

New Business
None.

Chair Moskowitz adjourned the meeting at 12:40pm.

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