Questions Regarding Policies and Practice for Extramural Grant Fund Overspending

1. When does overdraft shift from accidental / incidental to negligence? (e.g. does the PI “regularly” reviewing accounts and keeping records demonstrate fiduciary duty? is there a dollar amount/percentage or a certain amount of time with continued spending despite written warnings that indicates negligence?)

2. If the PI has been warned and continues to spend resulting in overdraft, is that an infraction for which disciplinary action be taken?

3. Can disciplinary action mean that a faculty member can be compelled to pay back the overdraft with personal funds?

4. What is the due process for such disciplinary action and what recourse does a PI have?

5. Is there a statute of limitations for a PI being presented with information about overdrafts and what appropriate documentation is needed?

Related Reference
300-19 Expenditures of Extramural Funds http://policies.ucsf.edu/300/30019.htm